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Polymatroids, Part 1, Wednesday, Feb. 10 at 10:00

A **set system**, (E, F) , on finite ground set E with a non-empty family F of subsets of E , is called an independence system if every subset of a member of F (called an independent set) is a member of F .

Where E is the edge-set of a graph G , and the independent sets are the matchings in G , the independence system (E, F) is not a matroid.

Where the independent sets are the edge-sets of forests in G , the system (E, F) is a matroid.

More generally where E is the set of columns of a matrix over a field, and the independent sets are the linearly independent sets, (E, F) is a matroid.

We will see sources of many other matroids.

Here are two convenient definitions of matroid:

(3.1) An independence system, $M = (E, F)$, such that any independent set together with another element contains at most one minimal dependent subset (called a circuit).

Or

(3.2) An independence system, $M = (E, F)$, such that for any subset S of E , every maximal (not meaning largest) independent subset of S (called a **basis** of S) is the same size, called the rank $r(S)$ of S in M .

Definition (3.2) is a well-known theorem

for the linearly independent subsets of a set of vectors.

Definition (3.1) is obvious for a set of vectors when it is stated in the obviously equivalent form: (3.1') For two circuits, C_1 and C_2 , of M , and any $e \in C_1 \cap C_2$, the set $\{(C_1 \cap C_2) - e\}$ is dependent.

Hence proving that (3.1) and (3.2) are equivalent is a fun way to derive a bit of linear algebra.

A non-empty set F of non-negative integer-valued d -tuples (with coordinates indexed by E) is called an (integer) **lower ideal** when $(a \leq b \in F) \Rightarrow (a \in F)$. (There is probably a better term for it but that's the one that comes to mind.)

(3.3) An **polymatroid** is a lower ideal F such that, for any non-negative integer d -tuple, s , every maximal x , such that $x \leq s$ and $x \in F$, has the same sum (of entries), called the rank $r(s)$ of s in the ideal F .

Notice, where the members of F consist of 0's and 1's, a polymatroid is the same thing as a matroid.

It turns out that any polymatroid, is exactly the same as the set of integer solutions of a special kind of system of linear inequalities.

A real valued function, f , of subsets of a set E is called **submodular** when $f(A \cup B) + f(A \cap B) \leq f(A) + f(B)$. It's **supermodular** when the inequalities are reversed, and **modular** when the inequalities are equations. Any modular set function takes the form $x(S) \equiv \sum(x(j): j \in S)$.

A **polymatroid set-function** is a submodular function which is integer-valued, non-decreasing, non-negative, and 0 for the empty set. Any integer submodular function can be represented as a polymatroid function plus a modular function.

(3.4) Theorem. For any polymatroid set function, f , the integer points of polytope $P(f) = \{x \geq 0: \text{for every subset } S \text{ of } E, x(S) \leq f(S)\}$ is a polymatroid, F , whose rank function is, for every $a \geq 0$, $r(a) = \min \{f(S) + a(E - S): S \text{ is a subset of } E\}$

Conversely,

(3.5) Theorem. For any polymatroid F on E , let $f(S) \equiv \max\{x(S): x \in F\}$.

Then f is a polymatroid function such that $P(f) = F$.

It follows immediately from the definition of polymatroid that for any polymatroid, P , the integer points $x \in P$ such that $x \leq \mathbf{1}$ are the 0,1 incidence vectors of a matroid.

Hence Theorem (3.3) has as a corollary the following way of getting matroids, which is dramatically different from linear dependence.

(3.6) Theorem. For any polymatroid function f on subsets of E , let F consist of the subsets J of E such that, for every subset S of E , $|J \cap S| \leq f(S)$.

Then (E, F) is a matroid with rank function, $r(A) = \min\{f(S) + |A - S| : S \text{ is a subset of } E\}$.

(3.7) For example, let $\{(E, F(i)) : i \in K\}$ be any multi-set of matroids, each on ground set E . They could be matroids of linear independence over various fields.

Since the rank function of any matroid is a polymatroid function, $f(S) = \sum(r(S, i) : i \in K)$ is a polymatroid function, and so by (3.6) gives a new matroid, (E, F) , not necessarily a matroid of linear independence over any field.

Assuming that we have oracles which recognize whether or not a set is independent in each of the matroids $(E, F(i))$, Theorem (3.6) gives us a good way to recognize when a set J is not in F . We need only to see that one of the inequalities of (3.6) is not satisfied. But what is good way to recognize when a set J **is** independent?

The answer is given by the following Matroid Partition Theorem.
 (3.7) A set J satisfies the all the inequalities of (3.6), where $f(S)$ is as in (3.7), if and only if J can be partitioned into sets, $J(i)$, $i \in K$, such that $J(i) \in F(i)$.

This is a way of saying:

(3.8) Theorem. The Minkowski sum of a multi-set of polymatroids, $P(i)$, each coordinatized by E is an polymatroid.

The **Minkowski sum** of any sets $F(i)$, $i \in K$, of d -tuples coordinatized by E , means the set $F = \{x = \sum x(i) : x(i) \in F(i), i \in K\}$.

We have a polytime algorithm for deciding whether or not a set J can be partitioned into sets $J(i)$ which are independent respectively in matroids $(E, F(i))$.

More generally we have a polytime algorithm for the so-called "**sum-set inverse problem**",

popular among additive number theorists, for expressing, if possible, a given integer-valued d -tuple x as the sum of d -tuples, $x(i)$, contained respectively in the sets, $P(i)$, of d -tuples.

I expect (3.8) to have applications in additive number theory. Of course, it **is** additive number theory.

